

BASICS OF SECURITIES AND STOCK MARKET

Course Code: 15FE1109

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Course Outcomes:

At the end of the course the student will be able to

CO 1: Study in detail the concepts of securities market and Indian financial system.

CO 2: Understand the nuances of Stock market and regulatory framework of SEBI.

CO 3: Examine the fundamental and technical concepts of market indices.

UNIT - I: Indian Securities Market and Financial System (5 Lectures)

Introduction - Securities market and financial system - Main financial instruments - Regulatory framework of Stock exchanges in India - Reforms since 1990s

UNIT - II: Stock Market (5 Lectures)

Meaning - Functions, Intermediaries - Role of Primary Market - Problems of New Issues Market – IPO's – Investor protection in primary market – Recent trends in primary market – SEBI measures for primary market - Functions of Secondary Market – Organization and Regulatory framework for stock exchanges in India – SEBI measures for secondary market.

UNIT - III: Fundamental and Technical Analysis (6 Lectures)

Fundamental Analysis: Economy analysis - Industry analysis - Company analysis - weaknesses of fundamental analysis.

Technical Analysis: Meaning and Assumptions of technical analysis – Tools of technical analysis - Trend lines and their significance – Market indicators – The Dow theory – Market indices – Mutual fund activity – Confidence level – Price indicators - Important chart formations - Price patterns and technical indicators.

Text Books

S.No	Author	Title	Edition	Publisher	Year
1	Punithavathy Pandian	Security Analysis and Portfolio Management	1 st	Vikas Publishing House Pvt. Ltd.	2009
2	Dhanesh Khatri	Security Analysis and Portfolio Management	1 st	MacMillan Publishers	2010
3	Prasanna Chandra	Security Analysis and Portfolio Management	4 th	Tata McGraw-Hill	2010
4	Thomos S Y Ho	Security valuation	1 st	Oxford University Press	2009
5	S. Kevin	Security Analysis and Portfolio Management	1 st	PHI Learning	2009

Reference Books

S.No	Author	Title	Edition	Publisher	Year
1	Edwin J. Elton, Martin J. Gruber	Modern Portfolio Theory and Investment Analysis	5 th	John Wiley & Sons	2001
2	Ravi Puliani and Mahesh Puliani	Manual of SEBI		Bharat Publication	2011
3	Shashi K Gupta	Security Analysis Portfolio Management	1 st	Kalyani Publishers	2010